

P.O. Box 650 • 57 Parker Rd. • Barre, VT 05641 • 800-548-4301 • www.neee.com

## **NEW BUSINESS SECURITY APPLICATION – GENERAL LIABILITY AND EXCESS LIABILITY**

APPLICANT						
1. Applicant						
2. Street Address						
Mailing Address (if different)						
If any Additional Locations, please provid	e additiona	l worksheet.				
3. Web-Site Address						
4. Name of contact person for	Name		Telephone			
inspection/audit	Email					
5. Applicant is		vidual  Corporation (Describe):	Partnership			
BUSINESS INFORMATION						
6. Years In Business under this name		Years of experience	ce in this field			
Please describe duties of the Owner(	s)					
Is Applicant involved in any other op	erations?			□ Yes □ No		
If yes, please describe:						
Any other states of operations						
Is the Company a division of a larger	corporation	or a subsidiary?		🗆 Yes 🗆 No		
7. Provide the names of Applicant's thr	ee largest c	lients and a description of y	our duties for ther	1:		
EMPLOYEE SELECTION AND TRAINING						
8. Pre-employment Screening Procedu	re (check all	if applicable):				
<ul> <li>□ Prior Employment Check</li> <li>□ Personal</li> <li>□ Drug Screening</li> <li>□ MVR</li> </ul>	Reference	<ul> <li>Psychological Testing</li> <li>Other:</li> </ul>	□ Background C	heck		
Training Program Includes (check all if applicable):						
□ Written Manual □ Report W □ Firearms □ Use of Fo	-	□ CPR □ Powers of Arrest	□ On the Job □ Other:			
Trade Association Membership held	?			🗆 Yes 🗆 No		
Are you and all of your employees an which you operate?	Are you and all of your employees and/or subcontractors lawfully licensed in the jurisdictions in which you operate?					

GEN	ERAL LIABILITY											
9.	Coverage Limits Reques	ted:	Occurre	ence	\$			Aggregate		\$		
10.	Deductible (Including LA	NE):										
11.	Please list the Applicant including any periods w				•			ge carried du 10 prior cove		oast fi	ve (5	) years,
	Name of Insurer	Polic	y Period		Limits of L	iability	De	eductible		Prem	ium	
										1		
12.	Hired and Non-Owned A (if yes, please complete		• •		Section be	low)					Yes	🗆 No
13.	Number of Supervisors		\$			Total I	Payro	11	\$			
	Describe duties perform	ed:										
14.	Number of Canines		Attende	ed			Una	ittended				
	How and where are canines used? Please describe breed and any drug or bomb sniffing activities:											
15.	15. Do any of your officers use tasers in their operations?							□ No				
16. Any Golf Carts, All-Terrain Vehicles, Mules, or similar Off-Road Vehicles used in the business?							Yes	🗆 No				
	Please describe type and	d use:										
17.	Does Applicant perform plants, refineries, nuclea	•			•				chemical		Yes	□ No
	If yes, describe for who	m and year	done, or	if you i	intend to p	perform	such	work:				
18.	Does Applicant use any	subcontrac	tors?								Yes	□ No
	What kind of work is sub	contracted	?									
	Total Projected costs:					% of To	otal W	ork Subcont	racted:			
	Does Applicant use a written contract with all of your subcontractors? (if yes, please attach a copy)						□ No					
I	Does Applicant obtain Ce	rtificates of	Insuranc	e from	all of you	subcon	tracto	ors?			Yes	□ No
Are you always added as an additional insured by your subcontractors?							Yes	□ No				
I	If no, give a percentage:											
I	Indicate contractually required minimum liability insurance:											
EXCESS LIABILITY												
LIN	LIMITS OF EXCESS LIABILITY REQUESTED:											
		000,000 000,000		)00,000 )00,000	-	7,000,00 3,000,00		□ \$9,000, □ \$10,000				

PRIOR CARRIER INFORMATION								
CATEGORY		CURRENT TERM	1 <sup>ST</sup> PRIOR	2 <sup>ND</sup> PI	RIOR	3 <sup>RD</sup> PRIOR	4	TH PRIOR
CARRIER								
POLICY NUMBER	R							
EFF-EXP DATE								
PREMIUM								
LIST PRIMARY POLICIES TO BE CONSIDERED AS UNDERLYING INSURANCE (please indicate if N/A)								
ТҮРЕ	С	ARRIER POLICY	POLICY	POLICY		LIMI	TS	
		NUMBER	EFF DATE	EXP DATE				
					CSL EA	. ACC.	\$	
AUTOMOBILE					BI EA. A	ACC.	\$	
LIABILITY					BI EA. I	PER.	\$	
					PD EA.	ACC.	\$	
					EACH C	DCCURANCE	\$	
					GENER	AL AGG	\$	
GENERAL					PROD &	& CO/OPS AGG	\$	
LIABILITY					PERSO	NAL & ADV INJ	\$	
					DAMA	GES TO RENTED PR	EMISES \$	
					EACH A	ACCIDENT	\$	
					-	E EACH EMPLOYEE	-	
EMPLOYERS' LIABILITY							\$	
LIADILITI					DISEAS	E POLICY LIMIT		
							\$	
EXPOSURES - EN	MPLO	YERS' LIABILITY (If a	applicable)					
19. Is Applican	it self-	insured in any state	e?	1			🗆 Yes	🗆 No
lf yes, plea	se list	states:						
20. Please list states where operations are conducted, where any premises are maintained, or where employees are otherwise subject to Workers' Compensation Regulations:								
<b>21.</b> Subject to:						∃ FELA		
EXPOSURES – AUTO LIABILITY (If applicable)								
<b>22.</b> Are explosives, caustics, flammables or other dangerous cargo hauled?							🗆 No	
23. Any units not insured by underlying policies?							🗆 No	
24. Are any ve	hicles	leased or rented to	others?				□ Yes	🗆 No
25. What is the Coverage Symbol for the Liability coverage under the Business/Commercial Yes No Auto policy?								

26. Do any employees	use their persor	nal vehicles	for business	purposes/	compa	ny busi	ness?	□ Ye	es E	] No
27. Does Applicant ob	tain and review	driver MVR	s before/dur	ing the hiri	ing proc	cess?		□ Ye	es E	] No
28. Does Applicant reg	ularly check driv	ver MVRs du	uring their e	mployment	t?			🗆 Ye	es D	] No
VEHICLE								•		
ТҮРЕ		# OWNED	# NON- OWNED	# LEASED	PROP HAU	PERTY	0-50 MI	50-20 MI	0	200 + MI
PRIVATE										
	LIGHT									
7010/0	MEDIUM									
TRUCKS	HEAVY									
	EX HEAVY									
	HEAVY									
TRUCKS/TRACTORS	EX HEAVY									
HIRED & NON-OWNED	O AUTO							<u>.</u>		
<b>29.</b> Does Applicant have a Business Auto Policy in force?							] No			
<b>30.</b> Are there any drivers under the age of 21 or over the age of 70?							□ Ye	es D	] No	
If yes, how many drive for business purposes or may commute to and from work sites?										
31. Do any employees use their own vehicle for company purposes, excluding commute to/from premises?							] No			
If yes, please provid	de details:									
32. Do any employees	drive their own	vehicle to a	and from any	/ worksites	?			□ Ye	es D	] No
If yes, please descr	ibe number of e	mployees, a	average num	ber of trips	s per da	iy, and	average	distanc	e tra	veled:
<b>33.</b> Does Applicant verify that employee vehicles are in good working order and regularly Pes D No maintained?							] No			
<b>34.</b> Does Applicant collect and maintain Certificates of Personal Auto insurance from employees annually?							🗆 Ye	es E	] No	
<b>35.</b> What is the minimum limit of auto liability insurance you require your employees who use their personal vehicles for business purposes to carry?										
<b>36.</b> Approximately what percentage of your time does Applicant's commercial vehicles travel:										
Within 50 miles:   Between 50-20 miles:   Over 200 miles:										
37. Driver Selection C	riteria									
Does Applicant order MVRs for each employee pre-hire and annually?							□ Ye	es E	] No	
Is an MVR evaluation program in effect (please attach a copy)						] No				
Does Applicant take disciplinary action for poor drivers?								] No		

SECURITY GUARD OPERATIONS If no Se	curity Guard Ope	rations	, check here 🛛 and move to next page:		
Annual Security Guard Payroll: \$			Receipts:	\$	
# of Full-Time Field Employees:			# of Part Time Field Employees:		
Independent Contractors - Cost \$	\$		Annual Number of Billed Hours		
# of Armed Guards:			# of Unarmed Guards:		
SECURITY GUARD OPERATIONS BREA	KDOWN (O	perat	ions must equal 100%)		
Armored Car / Courier Services		%	Military Bases		%
Banks/Offices		%	Movie Theaters		%
Banquet Facilities / Bars / Lounges / Restaurants / Night Clubs /Gentlemen's C	lubs	%	Multi-Tenant Commercial Use Buildings Retail	– Non-	%
Cannabis Operations		%	Museums/Galleries		%
Car Dealerships		%	Parking Garages/Facilities		%
Casinos		%	Parks and Recreation		%
Churches/Houses of Worship	р %		Public Transport/Airport/Seaport/Mass Stations	%	
Construction Sites	%		Residential Housing – Low Income or Su	%	
Convenience Stores / Liquor Stores	%		Residential Housing – Non Low Income	%	
Conventions / Trade Shows	%		Schools	%	
Courthouses	%		Shelters		%
Fast Food Establishments		%	Shopping Malls/Strip Malls/All Retail	%	
Federal/Municipal buildings		%	Special Events		%
Fitness Clubs		%	Strike Work/Employee Termination Esco	ort	%
High Profile Personal Protection		%	Traffic Control		%
Hospitals/Med Facilities /Labs/Abortion C	linics	%	Trucking Terminals		
Hotels/Motels		%	TV or Movie Set Security		%
Industrial/Manufacturing Plants/Warehou	uses	%	Utility Facilities		%
Low Profile Personal Protection		%	Other:		%
1. Does Applicant use any subcontracto	rs?				′es □ No
What kind of work is subcontracted?					
Total Projected costs:		Per	centage of Total Work Subcontracted:		%
Does Applicant use a written contract	with all of yo	ur suk	pcontractors? (if yes, please attach a cop	y) 🗆 ١	′es 🗆 No
Does Applicant obtain Certificates of Insurance from all of your subcontractors?					′es □ No
Are you always added as an additional insured by your subcontractors?					
If no, give a percentage:				I	
Indicate contractually required minim	um liability in	surar	nce:		

PRIVATE INVESTIGATION OP	ERATIC	ONS If no PI	Ope	rations, check here $\square$ and move to next page:				
Annual Investigation Payroll:	\$		Receipts: \$			\$		
# of Full-Time Field Employees:			# c	of Part Time Field Employees:				
Independent Contractors Cost:	\$		An	nual # of Billed Hours:				
# of Armed Investigators:			# c	of Unarmed Investigators:				
PRIVATE INVESTIGATION OPE	RATIO	NS BREA	KD	OWN (Operations must equal	100%	)		
Accident/Arson Investigation		%	,	Forensic Accounting Investigation				%
Accident/Arson Reconstruction		%	, )	Genealogical Searches				%
Asset Searches		%	, )	High Profile Personal Protection				%
Background / Pre-Employment Check	s	%	, )	Insurance/Legal/Litigation Investigation	S	%		
Bail Bonding / Bounty Hunting		%	, )	Kidnap & Ransom Investigation		%		
Child / Child Custody / Missing Person Investigation	n	%	, )	Low Profile Personal Protection				%
Credit Reporting / Record Checks		%	, D	Matrimonial/Domestic Investigation				%
Criminal / Fraud Invest Incl Identity Theft		%	, )	Mystery Shopping / Shoplifting Surveilla	ince			%
Debugging	bugging		, )	Polygraph Testing / Drug Testing				%
Eavesdropping		%	, )	Process Service / Skip Tracing				%
Employee Surveillance / Workplace Infiltration		%	Sub-Rosa Investigation / Video Surveillance					%
Expert Witness Testimony		%	, D	Other:				%
<ol> <li>If the applicant conducts polygra Certification through the Americ</li> </ol>	•			licant received their Polygraph on or American Polygraph Services?		Yes		No
<ol> <li>Does the applicant give notificat Reporting Act?</li> </ol>					Yes		No	
3. Does Applicant use any subcont	ractors?					Yes		No
What kind of work is subcontrac	ted?							
Total Projected costs:	Total Projected costs:     \$     Percentage of Total Work Subcontracted:						%	
Does Applicant use a written cor	Does Applicant use a written contract with all of your subcontractors? (if yes, attach a copy)							No
Does Applicant obtain Certificates of Insurance from all of your subcontractors?						Yes		No
Are you always added as an add	Are you always added as an additional insured by your subcontractors?						No	
If no, give a percentage:								%
Indicate contractually required minin	num liabi	lity insuran	ce:					

ALARM OPERATIONS If no Alarm Operations, check here 🗆 and move to next page:						
Annual Alarm Operation Payroll:	\$	Receipts:	\$			
# of Full-Time Field Employees:		# of Part Time Field Employees:				
Independent Contractors Cost:	\$	Annual # of Billed Hours:				
OPERATIONS (Must equal 100%)						
New Installation	%	Inspection		%		
Retrofit Design	%	Other:		%		
Service/Repair	%	TOTAL		100 %		
MARKET SEGMENTS (Must equal 100%)						
Commercial / Industrial	%	Habitational		%		
Restaurants	%	Residential		%		
Institutional	%	Computer Rooms		%		
		TOTAL		100 %		
ALARM SYSTEMS (Must equal 100%)			I			
Fire/Burglar Alarms	%	Water Flow / Sprinkler Systems		%		
Alarm Monitoring	%	Temperature Control	9			
PERS Systems	%	Closed Circuit TV				
Medical Emergency Pendants	%	Smart Home/ Theater/Intercom	n			
Medication Reminder Service	%	Interior Tele-Com/Network				
Medical Alarm Monitoring	%	Access Control/Card Key Entry		%		
Carbon Monoxide Detection	%	Preconstruction Wiring/Conduit		%		
Utility Monitoring	%	Other:		%		
		TOTAL		100 %		
4. Percent of customers under YOUR standa	ard contract:			%		
5. Do your Standard Contracts include Hold	Harmless or I	ndemnification Language?		🗆 Yes 🗆 No		
6. Does the contract include a Liquidated D	amages amou	nt?		🗆 Yes 🗆 No		
If yes, what is the amount?						
7. Percent of customers under modified contracts or contracts of others %						
8. Monitoring Provider:		Applicant D Other:				
9. Written contract with Monitoring Provide	9. Written contract with Monitoring Provider?					
10. Total projected cost for subcontracted monitoring:   \$						
<b>11.</b> Does Applicant provide security/patrol response to their customers if and when local Police/Fire/EMTs do not respond?          □ Yes □ No         □						
12. Do any employees or subcontractors providing security response carry firearms?						

FIRE SUPPRESSION OPERATIONS If no Fire S	uppression Opera	tions, check here $\Box$ and disregard section below:			
Annual Fire Suppression Payroll:	\$	Receipts:	\$		
# of Full-Time Field Employees:		# of Part Time Field Employees:			
Independent Contractors Cost:	\$	Annual # of Billed Hours:			
OPERATIONS (Must equal 100%)					
New Installation	%	Inspection			%
Retrofit Design	%	Grease/Duct Cleaning			%
Service/Repair	%	Other:			%
		TOTAL		100 <sub>%</sub>	6
MARKET SEGMENTS (Must equal 100%)					
Commercial / Industrial	%	Habitational			%
Restaurants	%	Residential			%
Institutional	%	Computer Rooms			%
		TOTAL		100	%
FIRE SUPPRESSION SYSTEMS (Must equal 100	%)				
Wet/Dry Sprinklers	%	Special Hazards			%
Foam/Chemical Systems	%	Portable Extinguishers			%
		TOTAL		100	%
<b>13.</b> Approximately what percentage of jobs	use CPVC pip	e?			%
Are all of your fitters trained on the various cure times for different size pipes?					C
14. If residential work is not currently done,	please indica	te the last year that residential work w	as done:		
<b>15.</b> Does Applicant install, service or repair fire suppression systems aboard aircrafts, automobiles, mobile equipment, boats?					C
If Yes, please describe:					
If No, Does Applicant anticipate perform	ing such wor	k in the future?			
<b>16.</b> Does Applicant fill any type of oxygen tanks?					כ
<b>17.</b> Does Applicant install systems in buildings over four (4) stories?Image: Yes					C
<b>18.</b> Does Applicant manufacture any fire protection equipment?Image: Yes					D
<b>19.</b> Does Applicant sell any type of product including protective clothing or life support equipment?					C
<b>20.</b> Are you covered as Additional Insured under Vendors coverage by manufacturer?					

## FRAUD NOTICE

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES. (Not applicable in AL, AR, CO, DC, FL, KS, KY, LA, MD, ME, NJ, NM, NY, OH, OK, OR, RI, TN, VA, VT, WA or WV – see Additional Fraud Notices for these States below).

## ADDITIONAL FRAUD NOTICES

**NOTICE TO ALABAMA, ARKANSAS, LOUISIANA, NEW MEXICO, RHODE ISLAND AND WEST VIRGINIA APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO COLORADO APPLICANTS:** It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

**NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING:** It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

**NOTICE TO FLORIDA APPLICANTS:** Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

**NOTICE TO KANSAS APPLICANTS:** Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written, electronic, electronic impulse, facsimile, magnetic, oral, or telephonic communication or statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto act.

**NOTICE TO KENTUCKY APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**NOTICE TO MAINE APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or denial of insurance benefits.

**NOTICE TO MARYLAND APPLICANTS:** Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO NEW JERSEY APPLICANTS:** Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

**NOTICE TO NEW YORK APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the

purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

**NOTICE TO OHIO APPLICANTS:** Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

**NOTICE TO OKLAHOMA APPLICANTS: WARNING:** Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**NOTICE TO OREGON APPLICANTS:** Any person who knowingly and with intent to defraud or solicit another to defraud the insurer by submitting an application containing a false statement as to any material fact may be violating state law.

**NOTICE TO TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS:** It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits.

**NOTICE TO VERMONT APPLICANTS:** Any person who knowingly presents a false statement in an application for insurance may be guilty of a criminal offense and subject to penalties under state law.

The undersigned declares that to the best of his or her knowledge and belief the statements and representations made herein and in any attachments appended hereto and/or incorporated herein by reference are true and complete and that no material facts have been misstated, misrepresented, suppressed or concealed. The signing of this application does not bind the undersigned to purchase insurance, nor does review of the application bind any insurer to issue a policy. It is agreed, however, that this application shall be the basis of the contract should a policy be issued. If there is any material change in the answers to the questions provided herein or in any of the attachments appended hereto and/or incorporated herein by reference prior to the effective date of the insurance policy, the applicant must immediately notify the insurer in writing and the insurer reserves the right in such instance to modify or withdraw any quotation or binder that may have been issued. The undersigned also represents that he or she is authorized on behalf of the applicant to complete and sign this application on its behalf.

Applicant Name (Printed)	Applicant Title						
Applicant Signature*	Date						
* ELECTRONIC SIGNATURE AND ACCEPTANCE $\Box$							
PRODUCER INFORMATION:							
Producer Name (Printed) Producer Signature*							
* ELECTRONIC SIGNATURE AND ACCEPTANCE							
line and by then either applying your electronic sig	cally by checking the Electronic Signature And Acceptance box below your signature nature to this form or by typing your name above your signature line on this form						

By doing so, you hereby consent and agree that your use of a key pad, mouse, keyboard or other device to accomplish the foregoing constitutes your signature, acceptance, and agreement as if actually signed by you in writing and has the same force and effect as a signature affixed by hand. Further, you agree that the lack of a certification authority or other third party verification will not in any way affect the validity or enforceability of your signature or any resulting contract.