

P.O. Box 650 • 57 Parker Rd. • Barre, VT 05641 • 800-548-4301 • www.neee.com

## NON-PROFIT ORGANIZATION DIRECTORS AND OFFICERS LIABILITY SCHOOL SUPPLEMENTAL APPLICATION

GE	NERAL INFORMATION					
1.	Name of Applicant:					
OF	RGANIZATION INFORMATION	<u>N</u>				
1.	Type of school:	Private college or university	Other (describe)			
2.	IRS tax status: 501(c)(	3) Public entity	Other [ ] (describe)			
3.	Complete the table below providing the number of Full Time and Part Time Students currently enrolled:					
	Full Time	Students	Part Time S	tudents		
4.	Complete the table below pro	ure-Track Faculty currently				
	Full Time Faculty	Part Time Faculty	Tenured Faculty	Tenure-Track Faculty		
5.	Complete the table below providing the Unionized Faculty and Non-Faculty currently employed:					
	Unionized Faculty		Unionized Non-Faculty			
6.	Are all degree programs accre If Yes, who provides accredita If No, please attach an explan	tion or certification?		Yes No No		
7.	Within the last 24 months and	d with respect to the Insured (	Organization:			
	a. Has an accrediting organi	ization threatened or taken di	sciplinary action?	Yes No No		
	b. Has an athletic organizati	ion threatened or taken discip	linary action?	Yes No No		
	If either of these questions ab	ove were answered Yes, pleas	e attach an explanation.			
8.	Within the last 24 months has	s any degree program:				
	a. Sought accreditation?			Yes No		

C.	Been unable to attain accreditation?		Yes No No		
d.	Become provisionally accredited?	Yes No No			
e.	Been placed on probationary status by an acc	Yes 🗌 No 🗌			
If	any of the questions above were answered Yes, <sub>I</sub>	please attach an explanation.			
or	Have any degree or certification programs been created or eliminated in the past 2 years, Yes No or are any such changes under consideration or planned within the next 12 months?  If Yes, please complete the table below:				
	Degree or Certification Program	Created or Eliminated	Number of Students Enrolled		
		Created Eliminated			
		Created Eliminated			
		Created Eliminated			
		Created Eliminated			
		Created Eliminated			
		Created Eliminated			
	Does the Applicant:  a. Have a written policy for employee/faculty fraternization with students?  Yes No				
a.		Yes No No			
b.	Is this policy circulated periodically as a remin	Yes No No			
C.	Have a written procedure for handling studen	Yes No No			
d.	Have an appeal procedure for admissions?	Yes No No			
e. f.	Who is responsible for overseeing this appeal  Have a written procedure for student disciplir	Yes ☐ No ☐ Yes ☐ No ☐			
	Have a criminal background check completed	Yes No			
g.	nave a criminal background check completed	on an new employees:	163   140		
<u>REQU</u>	IRED ATTACHMENTS				
contai	t of this Application, please submit the following n, are made a part of this Application, whether s ant or are obtained by the Company from any pu	uch documents are physically delivere	•		
•	Most recent annual financial statement				
•	List of Directors and Officers and outside affili	iations			
•	Publications if unavailable on website				
	leclare that the information submitted herein is ofessional Liability Application. I understand tha				
Się	gnature of Applicant/Title/Date	(Must be signed by a Principal, Pa	artner or Officer of the Firm)		
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## FRAUD WARNING

NOTICE TO ALABAMA, ALASKA, ARIZONA, ARKANSAS, CALIFORNIA, CONNECTICUT, DELAWARE, GEORGIA, IDAHO, ILLINOIS, INDIANA, IOWA, KANSAS, MARYLAND, MASSACHUSETTS, MICHIGAN, MINNESOTA, MISSISSIPPI, MISSOURI, MONTANA, NEBRASKA, NEVADA, NEW HAMPSHIRE, NORTH CAROLINA, NORTH DAKOTA, OREGON, RHODE ISLAND, SOUTH CAROLINA, SOUTH DAKOTA, TEXAS, UTAH, VERMONT, WASHINGTON, WEST VIRGINIA, WISCONSIN, AND WYOMING APPLICANTS: In some states, any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information, or, for the purpose of misleading, conceals information concerning any fact material thereto, may commit a fraudulent insurance act which is a crime in many states.

**NOTICE TO COLORADO APPLICANTS**: It is unlawful to knowingly provide false, incomplete or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policy holder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claiming with regard to a settlement or award payable for insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

**NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING:** It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

**NOTICE TO FLORIDA APPLICANTS**: Any person who knowingly and with intent to injure, defraud or deceive any insurance company files a statement of claim containing any false, incomplete or misleading information is guilty of a felony of the third degree.

**NOTICE TO HAWAII APPLICANTS:** For your protection, Hawaii law requires you to be informed that presenting a fraudulent claim for payment of a loss or benefit is a crime punishable by fines or imprisonment, or both.

**NOTICE TO KENTUCKY APPLICANTS**: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**NOTICE TO LOUISIANA APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO MAINE APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines, or denial of insurance benefits.

**NOTICE TO NEW JERSEY APPLICANTS**: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

**NOTICE TO NEW MEXICO APPLICANTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

**NOTICE TO NEW YORK APPLICANTS:** Any person who knowingly and with intent to defraud an insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals, for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and shall also be subject to a civil penalty not to exceed \$5,000 and the stated value of the claim for each such violation.

**NOTICE TO OHIO APPLICANTS**: Any person who, with intent to defraud or knowing that he/she is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

**NOTICE TO OKLAHOMA APPLICANTS: WARNING:** Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes a any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**NOTICE TO PENNSYLVANIA APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company, or other person, files an application for insurance or statement of a claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects the person to criminal and civil penalties.

**NOTICE TO TENNESSEE APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

**NOTICE TO VIRGINIA APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

The Applicant acknowledges that the answers provided herein are based on a reasonable inquiry and/or investigation. The Applicant warrants that the above statements and particulars together with any attached or appended documents are true and complete and do not misrepresent, misstate or omit any material facts.

The Applicant agrees to notify us of any material changes in the answers to the questions on this questionnaire which may arise prior to the effective date of any policy issued pursuant to this questionnaire and the Applicant understands that any outstanding quotations may be modified or withdrawn based upon such changes at our sole discretion.

oplicant:	Title:	
(Must be signed by a Principal, Partner, or Officer of the Firm)		
oplicant's Signature:	Date:	
gent/Broker Name:		